

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on July 19, 2001.

C. Magallón

Applicant

Jordan James Nicol

Application No.:

09/454,219

Filed

December 9, 1999

Title

PACKET BASED NETWORK EXCHANGE WITH

RATE SYNCHRONIZATION

Grp./Div.

2643

Examiner

Melur Ramakrishnaiah

Docket No.

36105/CAG/B600

INFORMATION DISCLOSURE STATEMENT WITH FEE UNDER 37 CFR §§ 1.97(c) and 1.17(p)

Assistant Commissioner for Patents Washington, D.C. 20231

Post Office Box 7068
Pasadena, CA 91109-7068
July 19, 2001

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO-1449 listing the references that are known to applicant. Copies of each of the listed references are enclosed.

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO-1449 be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Enclosed is the processing fee of \$180 as required by 37 CFR §1.17(p). The Commissioner is hereby authorized to charge any fees which may be required by this paper

Application No. 09/454,219

to Deposit Account No. 03-1728. Please show our docket number with any Deposit Account transaction. A copy of this paper is enclosed.

Respectfully submitted,
CHRISTIE, PARKER & HALE, LLP

By <u>Carry C.</u> Craig A. Gelfound

Reg. No. 41,032 626/795-9900

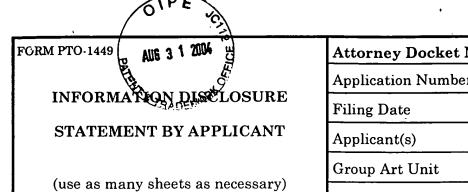
CAG/cam

Enclosures: Check \$180

Copy of IDS

PTO-1449, w/references

CAM PAS361018.1-*-7/16/01 5:13 PM



Attorney Docket Number	36105/CAG/B600	
Application Number	09/454,219	
Filing Date	December 9, 1999	
Applicant(s)	Jordan James Nicol	
Group Art Unit	2643	
Examiner Name	Melur Ramakrishnaiah	

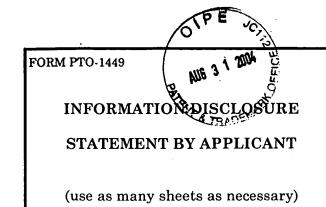
	U.S. PATENT DOCUMENTS					
EXAMINER INITIALS	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,793,498	08/1998	Scholl et al.	358	434	
	6,028,679	02/2000	Murphy	358	407	
						,

	FOREIGN PATENT DOCUMENTS						
DV 4 M (D)	DOOLING DIM	DUDI ICATION	COLINEDA OB			TRANS	LATION
EXAMINER INITIALS	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	YES	NO

	OTHER DOCUMENTS			
EXAMINER INITIALS	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
	INTERNATIONAL TELECOMMUNICATION UNION, ITU-T Telecommunication Standardization Sector of ITU, Data Communication Over the Telephone Network, "300 Bits Per Second Duplex Modem Standardized For Use in The General Switched Telephone Network," ITU-T Recommendation, 1988, 1993, 7 pages, V. 21, ITU			
	INTERNATIONAL TELECOMMUNICATION UNION, CCITT- The International Telegraph and Telephone Consultative Committee, Data Communication Over the Telephone Network, "A2-Wire Modem for Facsimile Applications With Rates up to 14 400 bit's" Recommendation, 1991, 13 pages, V. 17, ITU, Geneva			

EXAMINER SIGNATURE	DATE CONSIDERED	
DVALGNIDD T. 'A' 11'C C	Al MPER COO. December	- Al L. Marking if not in

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Attorney Docket Number	36105/CAG/B600
Application Number	09/454,219
Filing Date	December 9, 1999
Applicant(s)	Jordan James Nicol
Group Art Unit	2643
Examiner Name	Melur Ramakrishnaiah

	OTHER DOCUMENTS
DY AL CILIDD	
EXAMINER INITIALS	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	INTERNATIONAL TELECOMMUNICATION UNION, ITU-T- Telecommunication Standardization Sector of ITU, Series T: Terminal Equipments and Protocols for Telematic Services, "Procedures for Document Facsimile Transmission in the General Switched Telephone Network," ITU-T Recommendation, 07/1996, 74 pages, T.30, ITU
	INTERNATIONAL TELECOMMUNICATION UNION, ITU-T Telecommunication Standardization Sector of ITU, Data Communication Over the Telephone Network, "9600 Bits Per Second Modem Standardized For Use On Point-To-Point 4-Wire Leased Telephone-Type Circuits," ITU-T Recommendation, 1988, 1993, 17 pages, V. 29, ITU
	INTERNATIONAL TELECOMMUNICATION UNION, ITU-T Telecommunication Standardization Sector of ITU, Data Communication Over the Telephone Network, "Error-Correcting Procedures for DCEs Using Asynchronous-To-Synchronous Conversion," ITU-T Recommendation, 03/1993, 78 pages, V.42, ITU
	INTERNATIONAL TELECOMMUNICATION UNION, ITU-T Telecommunication Standardization Sector of ITU, Data Communication Over the Telephone Network, "4800/2400 Bits Per Second Modem Standardized For Use in The General Switched Telephon Network," ITU-T Recommendation, 1988, 1993, 15 pages, V.27 ter, ITU
	INTERNATIONAL TELECOMMUNICATION UNION, CCITT The International Telegraph and Telephone Consultative Committee, Data Communication Over the Telephone Network, "Data Compression Procedures For Data Circuit Terminating Equipment (DCE) Using Error Correction Procedures," ITU-T Recommendation, 1990, 29 pages, V.42 bis, ITU
, .	INTERNATIONAL TELECOMMUNICATION UNION, ITU-T Telecommunication Standardization Sector of ITU, Series T: Terminal Equipments and Protocols for Telematic Services, "Standardization of Group 3 Facsimile Terminals for Document Transmission," ITU-T Recommendation, 07/1996, 61 pages, T.4, ITU
	INTERNATIONAL TELECOMMUNICATION UNION, ITU-T Telecommunication Standardization Sector of ITU, Series V: Data Communication Over the Telephone Network, Simultaneous Transmission of Data and Other Signals, "A Digital Modem and Analogue Modem Pair For Use on the Public Switched Telephone Network (PSTN) at Data Signalling Rates of up to 56 000 bit/s Downstream and up to 33 600 bit/s Upstream," ITU-T Recommendation, 09/1998, 49 pages, V.90, ITU

EXAMINER SIGNATURE		DATE CONSIDERED	
EVAMINED. In:	sial if reference considered, whether as not situation is i	- conformance with MPEP con. D	mounties absence situation if not in

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

()		
FORM PTO 1449 P AUS 3 1 2004	Attorney Docket Number	36105/CAG/B600
THE CONTRACTOR OF THE CONTRACT	Application Number	09/454,219
INFORMATION DISCLOSURE	Filing Date	December 9, 1999
STATEMENT BY APPLICANT	Applicant(s)	Jordan James Nicol
	Group Art Unit	2643
(use as many sheets as necessary)	Examiner Name	Melur Ramakrishnaiah

	OTHER DOCUMENTS			
EXAMINER INITIALS	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
	IEEE; IEEE Standards for Local and Metropolitan Area Networks: Supplement to Carrier Sense Multiple Access with Collision Detection (CSMA/CD) Access Method and Physical Layer Specifications, "Media Access Control (MAC) Parameters, Physical Layer, Medium Attachment Units, and Repeater for 100 Mb/s Operation, Type 100BASE-T (Clauses 21-30); June 14, 1995, 408 pages, IEEE std 802.3u-1995 (Supplement to ISO/IEC 8802-3: 1993 [ANSI/IEEE Std 802.3, 1993 Edition]), The Institute of Electrical and Electronics Engineers, Inc., New York, NY			
	FUYUN LING and SHAHID QURESHI, "Convergence and Steady-State Behavior of a Phase-Splitting Fractionally Spaced Equalizer," IEEE Transactions on Communications, April 4, 1990, pages 418-425, Vol. 38, No. 4, IEEE			
	PAUL FISCHER, "State Machines In C," The C Users Journal, December 1990, pages 119-122			

EXAMINER SIGNATURE	DATE CONSIDERED			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

CAG/cam

CAM PAS360774.1.*-7/18/01 4:15 PM